

STANDARD ASSESSMENT FORM FOR PG COURSES
SUBJECT - OTORHINOLARYNGOLOGY

INSTRUCTIONS FOR DEANS/PRINCIPALS AND ASSESSORS

1. Please read the Standard Assessment Form (SAF) carefully before filling. You will **NOT** be allowed to make any changes in the Data after it has been submitted.
2. Do **NOT** leave any section of the SAF or part thereof unanswered. Incompletely filled up forms shall be summarily rejected without any intimation to the college/institution.
3. Do **NOT** edit or modify any part of the SAF. Tampering with the format of these forms will render your submission invalid.
4. Do **NOT** attach Annexures or enclosures. All the information furnished should be in the appropriate sections of the SAF. Annexures and Enclosures will **NOT** be considered.
5. Do **NOT** attach experience certificates with SAF. It is mandatory to submit Experience certificate/s issued by the competent authority from place/s of work (from the office of the DGAFMS in case of personnel of the Indian armed forces) along with the Faculty declaration forms, failing which information about work experience will not be considered.
6. In case of faculty/residents with DNB qualification, full Name of the hospital/institution where DNB training was obtained and year of passing should clearly be mentioned failing which they shall **NOT** be considered. Merely mentioning National Board of Examinations, New Delhi, shall not suffice.
7. The Dean/Principal is responsible for filling up the entire form and signing at appropriate places.
8. If promotions are after the cut-off dates (21/07/2013 for Professors; 21/07/2014 for Associate Professors) and benefit of publications has been given in promotion before the cut-off dates, list the publications immediately below the name of faculty in the format: Title of Paper, Authors, Citation of Journal, details of Indexing in the faculty table. Photocopies of published articles should be attached with the Faculty declaration forms failing which they will not be considered. Please provide details of full/original articles ONLY. Case reports, review articles and abstracts shall **NOT** be considered.
9. Do **NOT** use abbreviations for names of Medical Colleges in the SAF and Declaration Forms.

INSTRUCTIONS FOR ASSESSORS:

10. Please follow all instructions carefully. Instructions marked **NOTE:** are repeated in various sections of the SAF to ensure compliance for the sake of consistency and uniformity.
11. Only Faculty members and Resident doctors who sign the attendance sheet by 11:00 A.M., present themselves for subsequent verification and are found eligible must be considered. In addition, all those who are on NMC permitted leave, or on NMC/Court duties are to be considered. Please ensure that signatures of faculty members and resident doctors are obtained in the Faculty table.
12. OPD attendance up to 2:00 P.M. and Bed occupancy till 10:00 A.M. must be considered, without any exceptions. Please adhere to instructions regarding time very strictly.
13. Data for Radiodiagnosis investigations, Central Clinical Laboratories, Blood Bank etc., entered in the SAF must be verified with physical records/registers.
14. Information regarding Births and Deaths to be verified from Birth/Death registration forms sent by hospital to the Registrar of Births/Deaths.
15. Assessors may write confidential remarks not shown in the assessment report on the page marked "Remarks of Assessor". Do NOT send/attach separate confidential letter/s.

Signature of Dean

Signature of Assessor

STANDARD ASSESSMENT FORM FOR POSTGRADUATE COURSES
OTORHINOLARYNGOLOGY

1. Name of Institution: _____

NMC Reference No.: _____
2. Particulars of the Assessor: _____
- Date of Assessment _ / _ / _ _ _ .

Name	Residential Address (with Pin Code)
Designation.....
Specialty.....
Name & Address of Institute/College	Phone No. (Off)(Res)
.....	(Fax).....
.....	Mobile No.
.....	E-mail:

3. Institutional Information:
- A. Particulars of the Institution/College

Institution/College		Chairman/ Health Secretary	Director/ Dean/ Principal	Medical Superintendent
Name				
Address				
State				
Pin Code				
Phone Nos. Office Residence Fax				
Mobile No.				
E-mail				

B. Particulars of Affiliating University

University		Vice Chancellor	Registrar
Name			
Address			
State			
Pin Code			
Phone Nos. Office Residence Fax			
Mobile No.			
E-mail:			

(*Note: Otorhinolaryngology may be referred to as ENT for convenience)

Signature of Dean

Signature of Assessor

SUMMARY

Date of Assessment: __/__/____. Name of Assessor: _____

Name of Institution (Govt./Pvt.)	Director / Dean / Principal (Whosoever is Head of the Institution)	
	Name	
	Age & Date of Birth	
	Teaching experience	
	PG Degree (Recognized/Non-R)	
	Discipline/Subject	

Department inspected	Head of Department	
	Name	
	Age & Date of Birth	
	Teaching experience	
	PG Degree (Recognized/Non-R)	

3 (a) Number of UG seats	Recognized (Year:)	Permitted (Year:)	First LOP date when MBBS course was first permitted
3 (b) Date of last assessment for	UG	PG	
	Purpose:	Purpose:	
	Result:	Result:	

4. Total Teachers available in the Department:

Designation	Number	Name	Total teaching experience	Benefit of publications in promotion
Professor				
Addl/Assoc Professor				
Asst Professor				
Senior Resident				

Note: Only those who are physically present to be considered.

Signature of Dean

Signature of Assessor

5. Number of Units with beds in each unit:

Number of Units	
Number of beds in each Unit	

6. Clinical workload of the Institution and Department of ENT:

Particulars	Entire Hospital	Department of ENT	
	On Day of Assessment	On Day of Assessment	Avg of 3 Days Random
OPD attendance up to 2:00 P.M.			
Total number of new admissions			
Total Beds occupied at 10:00 A.M.			
Total no. of Required Beds			
Bed Occupancy at 10:00 A.M. (%)			
No. of Major Operations			
No. of Minor Operations			
No. of Day Care Operations			
Total no. of Deliveries			
Total no. of Caesarean Sections			
Total no. of Deaths			
Casualty attendance			

- Note:
- i. OPD attendance to be considered only up to 2:00 P.M. and Bed occupancy till 10:00 A.M.
 - ii. Verify data from Birth/Death registration forms sent by hospital to the Registrar of Births/Deaths.
 - iii. Data to be verified with physical records/registers for Radiodiagnosis, Central Clinical Laboratory and Blood Bank

7. Investigative Workload of entire hospital and Department of ENT

Particulars		Entire Hospital	Department of ENT	
		On day of assessment	On day of assessment	Avg of 3 random days
Radio-diagnosis	MRI			
	CT			
	USG			
	Plain X-rays			
	IVP/Barium etc.			
	Mammography			
	DSA			
	CT guided FNAC			
	USG guided FNAC			
	Any other			
Pathology	Histopathology			
	Cytopathology			
	Hematology			
	Others			
Biochemistry				
Microbiology				
Units of blood consumed				

Signature of Dean

Signature of Assessor

8. Year-wise available clinical material of the department of ENT (past 3 calendar years).

Average daily workload	Year 1	Year 2	Year 3
1. Number of patients in OPD			
2. Number of patients admitted (IPD)			
3. Number of Major operations			
4. Number of minor operations			
5. Number of day care operations			

Note:

(Past year)

i. Calendar year: 1st January to 31st December of the year considered.

ii. IPD means total number of patients admitted (Not total occupancy of the year)

9. Publications from the department during the past 3 years:
(Only original articles published in indexed journals are to be accepted. Case reports, abstracts and review articles are not to be included).

10. Blood bank

License valid	Yes / No
Blood component facility available	Yes / No
Number of units stored on the day of Assessment	
Average number of units consumed daily (entire hospital)	

11. Specialized services provided by the department:

Adequate / not adequate
12. Specialized Intensive Care services provided by the department:

Adequate / not adequate
13. Specialized equipment available in the department:

Adequate / Inadequate
14. Space (Offices, Teaching areas)

Adequate / Inadequate

Signature of Dean

Signature of Assessor

15. Library:

Particulars	Central	Departmental
Number of Books pertaining to ENT		
Number of Journals		
Latest journals available up to		

16. Emergency/Casualty: Number of Beds: __ __ Available equipment: __ __ Adequate / Inadequate

17. Common facilities:

1. Central supply of Oxygen / Suction:	Available / Not available
2. Central Sterile Supply Department	Adequate / Not adequate
3. Laundry services:	Available/Not available
4. Dietary services	Available/Not available
5. Bio-Medical Waste disposal	Outsourced / any other method
6. Generator facility	Available / Not available
7. Medical Record Section:	Computerized / Non computerized
8. ICDX classification	Used / Not used

18. Number of OPD, IPD cases & Deaths in the Institution and department of ENT (past year).

In the entire hospital		In the department of ENT	
OPD		OPD	
IPD (Admissions)		IPD (Admissions)	
Deaths		Deaths	

19. Accommodation for staff: Available / Not available

20. Hostel accommodation:

Detail	UG		PG		Interns	
	Boys	Girls	Boys	Girls	Boys	Girls
Number of Students						
Number of Rooms						
Status of Hygiene/Cleanliness						

21. Total number of PG seats

	Recognized seats	Date of recognition	Permitted seats	Date of permission
Degree				

22. Year wise PG students admitted (in the department inspected) during the preceding 5 years and available number of PG teachers

Year	Number of PGs admitted	Number and Names of PG Teachers available

Signature of Dean

Signature of Assessor

23. Other PG courses run by the Institution

Course Name	No. of seats	Department/s
DNB		
M.Sc.		
Others		

24. Stipend paid to the PG students, year-wise:

Year	Stipend in Govt. Colleges paid by State Govt.	Stipend paid by the Institution*
1 st Year		
2 nd Year		
3 rd Year		

* Stipend shall be paid by the institution as per Government rates shown above.

25. List of Departmental Faculty appointed / relieved after the last Assessment:

Designation	Number	Names of faculty members	
		Appointed	Relieved
Professor			
Associate Prof.			
Assistant Prof.			
SR/Tutor/Demons.			
Others			

26. Faculty deficiency, if any

Designation	Numbers available	Numbers required	Deficiency, if any
Professors			
Associate Professors			
Assistant Professors			
Senior Residents			
Junior Residents			
Tutors/Demonstrators			
Any Others			

* Faculty Attendance Sheet duly signed by concerned faculty must be handed over to the Assessors.

Signature of Dean

Signature of Assessor

27. REMARKS OF ASSESSOR

1.

*Please **DO NOT** repeat information already provided elsewhere in this form.*

2.

*Please **DO NOT** make any recommendation regarding grant of permission/recognition*

3.

*Please **PROVIDE DETAILS** of irregularities that you have noticed/ come across, during the assessment, like fake/dummy faculty, fake/ dummy patients, fabrication/ falsification of data of clinical material etc. if any.*

Signature of Dean

Signature of Assessor

PART – I
(INSTITUTIONAL INFORMATION)

1. Particulars of Director / Dean / Principal:
(Whosoever is the Head of the Institution)

Name: _____ Age: ___ (Date of Birth) __/__/____.

PG Degree	Subject	Year	Institution	University
Recognized (or) Not Recognized				

Teaching Experience

Designation	Institution	From	To	Total experience
Assistant Professor				
Assoc Professor/Reader				
Professor				
Any Other		Grand Total		

2. Central Library

- a) Total number of Books in library: _____
b) Books pertaining to ENT: _____
c) Purchase of latest editions of books in last 3 years: Total: ___ ENT books: ___ .
d) Journals:

	Total number	ENT
Indian		
Foreign		

- e) Year / Month up to which latest Indian Journals available: _____.
f) Year / Month up to which latest Foreign Journals available: _____.
g) Internet: Available / Not available
h) Library opening times: _____.
i) Reading facility out of routine library hours: Available / Not available
(Obtain a list of books & journals related to ENT duly signed by Dean)

3. Casualty/ Emergency Department

Particulars	Numbers / relevant details
Number of Beds	
No. of cases (Average daily OPD and Admissions):	
Emergency Lab in Casualty (round the clock):	Available / Not available
Emergency OT and Dressing Room	
Staff (Medical/Paramedical)	
Equipment available	

Signature of Dean

Signature of Assessor

4. Blood Bank

(i)	Valid License	Yes / No	Verified / Not verified
(ii)	Blood component facility available	Yes / No	Verified / Not verified
(iii)	All Units tested for Hepatitis C, B, HIV	Yes / No	Verified / Not verified
(iv)	Nature of Storage facilities (as per specifications)	Yes / No	Verified / Not verified
(v)	Number of Units available on Assessment day		Verified / Not verified

(vii) Average number of units utilized daily and on the day of the assessment in the entire hospital (various specialty wise distribution)

Average daily utilization	Utilization on the day of assessment	Verified / Not verified

5. Central Research Lab:

Yes/No

a) Administrative control:

b) Staff:

c) Equipment:

d) Workload:
6. Central Laboratory (if any):

– Controlling Department:

– Working Hours:

– Investigative workload: (Approximate number of investigations done daily in entire hospital).
7. Operation theaters (OTs):

a. Number of OTs functional per day:

b. Number of OT days per week:

c. Average number of cases operated daily:

i. Major surgeries:

ii. Minor surgeries:

iii. Day care surgeries:

iv. Deliveries:

v. Caesarian sections:

d. Preanesthetic clinic:

Yes / No; Adequate / Inadequate

e. Resuscitation equipment:

Yes / No; Adequate / Inadequate

f. Equipment:

Yes / No; Adequate / Inadequate

(List in space below if deemed necessary)
8. Central supply of Oxygen/Suction:

Available / Not available
9. Central Sterile Supply Department

Adequate / Not adequate
10. Bio-Medical Waste Disposal

Outsources / any other method
11. Generator facility:

Available / Not available
12. Medical Record Section:

Computerized / Non computerized

– ICDX classification

Used / Not used

Signature of Dean

Signature of Assessor

PART – II
(DEPARTMENTAL INFORMATION)

1. Department inspected: OTORHINOLARYNGOLOG
2. Particulars of HOD

Name: _____ Age: ___ (Date of Birth) __/__/____.

PG Degree	Subject	Year	Institution	University
Recognized (or) Not Recognized				

Teaching Experience

Designation	Institution	From	To	Total experience
Assistant Professor				
Assoc Professor/Reader				
Professor				
Any Other		Grand Total		

3. Purpose of Present Assessment: Grant of Permission/ Recognition/ Increase of seats /
Renewal of recognition/Compliance Verification
4. Date of last MCI/NMC Assessment of the department: _____
(Write Not Applicable for first NMC Assessment)
5. Purpose of Last Assessment: _____
6. Result of last Assessment: _____
7. Mode of selection (actual/proposed) of PG students:
8. If course has already started, year-wise number of PG students admitted, and number with names of available PG teachers in the department during the last 5 years:

Year	No. of PG students admitted		Number and Names of PG Teachers available
	Degree	Diploma	

9. Departmental General facilities:

Total number of Beds:		
Total number of Units:		
Unit wise staff details:	Teaching faculty	Residents

Signature of Dean

Signature of Assessor

10. Unit wise Teaching and Resident Staff: UNIT:_____ Bed Strength: _____

No.	Designation	Name & Date of Birth	Full time/ part time/ Honorary	PAN No./ TDS deducted	PG Qualification			Experience Date wise teaching experience with designation & Institution						Signatures (Faculty)
					Subject/ Year of passing	Institution	University	Designation Mentioning subject	Institution	From	To	Total Period	* Whether benefit of publications given Yes/No – List papers	

- Note:**
- i. Unit wise Teaching/Resident staff should be shown separately for each unit in the proforma.
 - ii. FILL ALL COLOUMNS. Do NOT modify any part of the above table. Tampering with the format of the table will render your submission invalid.
 - iii. If BENEFIT OF PUBLICATION HAS BEEN GIVEN, list only original articles in indexed Journals published during the period of assessment for promotion here. Annexure will NOT be considered.
 - iv. In case of persons with DNB qualifications, the name of the hospital/institution where DNB training was obtained and the year of passing should clearly be mentioned failing which she/he shall NOT be considered. Merely mentioning National Board of Examinations, New Delhi shall not suffice.
 - v. Experience of Defense services personnel must be supported by certificate/s from the competent authorities of the office of the DGAFMS, to be attached with the Faculty declaration forms failing which it shall not be considered.

I have verified the eligibility of all faculty members for the posts they are holding **based on experience certificates issued by competent authorities of their place of working**. The details of their working and teaching experience while holding different Designations is furnished in the table above.

Institutional TAN No:

Signature of Dean

Signature of Assessor

11. Have any of these faculty members been considered in PG/UG Assessment at any other colleges or for any other subject in this college or other colleges in the last 2 years? If yes, give details.

Date of assessment	Subject	Institution

12. List of Departmental Faculty members appointed / relieved after the last Assessment:

Designation	Number	Names of faculty members	
		Appointed	Relieved
1. Professor			
2. Associate Prof.			
3. Assistant Prof.			
4. SR/Tutor/Demons.			
5. Others			

13. List of Non-teaching Staff in the department:

Sl.No.	Name	Designation

14. Available Clinical Material of the department of ENT

Parameter	Day of Assessment		Avg. of 3 random days	
Daily OPD attendance				
Daily admissions through OPD				
Daily admissions through Emergency / casualty				
Total daily admissions				
Number of Audiometry cases				
Number of BERA done				
Number of patients for Speech Therapy				
Number of patients for Impedance				
Number of Operations in the Department				
Bed occupancy in the Department				
Weekly clinical work load for OPD / IPD				
Weekly number of Major operations				
Weekly number of Minor operations				

Signature of Dean

Signature of Assessor

15. Clinical workload / material of the department (past 3 years):

Particulars	Year 1	Year 2	Year 3
Total number of patients in OPD			
Total number of patients in IPD			
Surgical procedures			
– Major			
– Minor			
– Day Care			
Number of Audiometry cases			
Number of BERA done			
Number of patients for Speech Therapy			
Number of patients for Impedance			
Average daily investigative workload:			
– Radiology			
– Histopathology			
– Cytopathology			
– Mycology			
Average daily consumption of blood units			

(Past year)

16. Number of surgical procedures performed in the past three years

Procedure	Year 1	Year 2	Year 3	Total
1. Myringoplasty & Mastoidectomy				
2. Stapedectomy				
3. Myringotomy/Grommet				
4. Cochlear implant				
5. Tracheostomy				
6. MLS				
7. Direct Laryngoscopy				
8. Laryngectomy				
9. Oesophagoscopy				
10. Bronchoscopy				
11. Foreign body removal				
12. Laryngo/tracheoplasty				
13. Adenoidectomy & Tonsillectomy				
14. Septoplasty				
15. Rhinoplasty				
16. FESS				
17. Nasal Polypectomy				
18. Maxillectomy				
19. Angiofibroma				
20. DCR				
21. Any others				

(Past year)

Signature of Dean

Signature of Assessor

17. Specialty clinics run by the department of ENT with number of patients in each:

Name of the Clinic	Weekday/s	Timings	Number of cases (Avg)	Name of Clinic In-charge
1) Otology related				
2) Rhinology related				
3) Cancer related				
4) Any others				

19. Services provided by the department of ENT:

Service	Availability
1. Speech therapy	Yes / No
2. Audiology services	Yes / No
3. Hearing aid trials	Yes / No
4. Neonatal screening programs	Yes / No
5. Speech and voice analysis/services	Yes / No
6. Diagnostic Endoscopies – Nasal, larynx, Oesophagus.	Yes / No
7. Investigations – Nerve conduction, EMG, BERA etc.	Yes / No
8. Any other special diagnostic facility provided by the department.	Yes / No

20. Departmental Library:

Total No. of Books	
Purchase of latest editions in past 3 years	
Number of Journals	

21. Departmental Research Lab.

Space	
Equipment	
Research projects utilizing Research lab	1. 2. 3.

22. Temporal bone dissection lab:

- a. Space: _ _ _ _ m²
- b. Equipment (list in the space below):

23. Departmental Museum (Wherever applicable).

Space	
Number of specimens	
Number of charts / diagrams	

Signature of Dean

Signature of Assessor

24. Space

Space / Area	OPD		IPD	
1. Rooms / Examination chairs				
2. Patient examination arrangements				
3. Equipment				
4. Teaching areas				
5. Waiting area for patients				
6. Audiometry room				
7. Speech therapy room				
8. Minor OT				

25. Office Space / Accommodation:

Department Office		Office Space for Teaching Faculty*	
Space (Adequate)	Yes/No	Head of the Department	Yes / No / Inadequate
Staff (Steno /Clerk)	Yes/No	Professors	Yes / No / Inadequate
Computer/ typewriter	Yes/No	Associate Professors	Yes / No / Inadequate
Storage space for files	Yes/No	Assistant Professor	Yes / No / Inadequate
Telephone / Intercom	Yes/No	Residents	Yes / No / Inadequate

* Strike out whichever are not applicable

22. Clinico-Pathological Conferences (CPCs) participation: Yes / No
(If yes, provide numbers with dates)

23. Death review meetings: Yes / No
(If yes, provide numbers with dates)

24. Participation in National Program for Prevention and control of Deafness
(If yes, provide details)

25. Details of data being submitted to Govt. / National authorities, if any:

Signature of Dean

Signature of Assessor

26. Equipment: List of important equipment* available and their functional status.
(Please fill out the details of the list here below. NO annexure to be attached)

Equipment	Numbers / functional status / comments
1. Operating Microscope with teaching aid	
2. High speed drill in operation theatre	
3. Flexible fiberoptic bronchoscope/ nasopharyngolaryngoscope	
4. Rigid Pediatric Bronchoscopy set	
5. Rigid Esophagoscopy set	
6. Microlaryngoscopy set	
7. Sinus endoscopy set	
8. Microdebrider for sinus surgeries	
9. Digital mono & bipolar cautery	
10. Nerve stimulator/ monitor	
11. HD digital camera with recording system	
12. OAE	
13. BERA	
14. Impedance Audiometer	
15. Pure tone audiometer	
16. Sleep Lab (in the Institute)	
17. Simulators for ear, nose surgeries	
18. CO ₂ Laser	
19. Diode Laser	
20. Harmonic scalpel	
21. Stroboscope/ High Speed camera	
22. Any other equipment	

27. Academic activities (outcome based):

a) Theory classes taken in the past 12 months	
a) Numbers	
b) Dates and subject	Available & Verified / Not available
c) Name and Designation of the Teacher	Available & Verified / Not available
d) Attendance sheet	Available & Verified / Not available
b) Clinical seminars in the past 12 months	
a) Numbers	
b) Dates and subject	Available & Verified / Not available
c) Name and Designation of the Teacher	Available & Verified / Not available
d) Attendance sheet	Available & Verified / Not available
c) Journal clubs conducted in the past 12 months	
a) Numbers	
b) Dates and subject	Available & Verified / Not available
c) Name and Designation of the Teacher	Available & Verified / Not available
d) Attendance sheet	Available & Verified / Not available

Signature of Dean

Signature of Assessor

d) Tutorials held in the past 12 months	
a) Numbers	
b) Dates and subject	Available & Verified / Not available
c) Name and Designation of the Teacher	Available & Verified / Not available
d) Attendance sheet	Available & Verified / Not available
e) Group discussions held in the past 12 months	
a) Numbers	
b) Dates and subject	Available & Verified / Not available
c) Name and Designation of the Teacher	Available & Verified / Not available
d) Attendance sheet	Available & Verified / Not available
f) Guest lectures organized in the past 12 months	
a) Numbers	
b) Dates and subject	Available & Verified / Not available
c) Name and Designation of the Teacher	Available & Verified / Not available
d) Attendance sheet	Available & Verified / Not available

28. Periodic evaluation methods
(List details here)

29. Any other information.

Signature of Dean

Signature of Assessor

PART III
(POSTGRADUATE EXAMINATION)
(Only at the time of recognition Assessment)

1. Minimum prescribed period of training:
Date of admission of the Regular Batch appearing in examination: __/__/____

2. Minimum prescribed essential attendance:

3. Whether periodic performance appraisal is carried out:

4. Whether the candidates appearing in the examination have submitted their thesis six months before appearing in examination as per PG Regulations2000:

5. Whether the thesis submitted by the candidates appearing in the examination been accepted:

6. Whether the candidates appearing in the examination have:

a) presented one poster

Yes / No

b) presented a research paper at a National/State conference

Yes / No

c) published / received acceptance for a paper during their PG study period

Yes / No

d) communicated a paper for publication.

Yes / No

7. Provide details of examiners appointed by Examining University below (No Annexures):

8. Whether appointment, eligibility of examiners and conduct of examination is as per prescribed NMC/MCI norms:
Yes / No

If not, provide details:

9. Standard of Theory papers and that of Clinical / Practical Examination:

10. Year of passing out of the 1stbatch of PG students (mention name of previous/existing University)

Degree Course -----
- Note:**

i. Retired/Superannuated/re-employed faculty members should not be appointed as External Examiner.

ii. There should be two internal and two external examiners.

iii. Three external examiners should be appointed if two internal examiners are not available in the department.
- Signature of Dean
- Signature of Assessor